

# Faculty Senate Winter Plenary

January 22, 2016  
Stony Brook University



# Faculty Senate Winter Plenary Agenda

- 2016/17 Executive Budget
  - o Macro View
  - o New York State Budget: SUNY Impact
  - o New York State Budget: What's Next?
- Investment and Performance Fund

# 2016/17 Executive Budget Summary and Impact on the State University



# 2016/17 Executive Budget: Macro View



# Breakdown and Year-to-Year View of the State Budget

(State Fiscal Year View)

| \$B                      |                          |                      | Change  |       |
|--------------------------|--------------------------|----------------------|---------|-------|
| Source of Funds          | 2015/16<br>Planned       | 2016/17<br>Executive | \$      | %     |
| Direct State Tax Support | \$72.6                   | \$70.6               | \$(1.9) | -2.7% |
| Federal Funds            | 40.0                     | 39.7                 | (0.3)   | -0.8% |
| Agency / Other Generated | 19.5                     | 24.1                 | 4.5     | 23.3% |
| Capital                  | 10.0                     | 10.5                 | 0.5     | 5.1%  |
| Total                    | \$142.2                  | \$145.0              | \$2.8   | 2.0%  |
| Percentage of Total      | Direct State Tax Support | 51.0%                | 48.7%   |       |
|                          | Federal Funds            | 28.2%                | 27.4%   |       |
|                          | Agency Generated         | 13.7%                | 16.6%   |       |
|                          | Capital                  | 7.1%                 | 7.3%    |       |

# Direct State Tax Support Breakdown

(State Fiscal Year View)

| \$M                                  |                 |                   | Change      |        |
|--------------------------------------|-----------------|-------------------|-------------|--------|
| Functional Area                      | 2015/16 Planned | 2016/17 Executive | \$          | %      |
| Education                            | \$22,413.4      | \$23,165.2        | \$751.8     | 3.4%   |
| Elected Officials                    | 3,143.1         | 3,257.8           | 114.7       | 3.6%   |
| Mental Hygiene                       | 4,262.1         | 4,344.7           | 82.6        | 1.9%   |
| Ec. Development / Gov. Oversight     | 221.4           | 291.2             | 69.7        | 31.5%  |
| General Government                   | 1,078.0         | 1,103.3           | 25.4        | 2.4%   |
| Parks and Environment                | 220.6           | 210.2             | (10.4)      | -4.7%  |
| Transportation                       | 584.4           | 551.2             | (33.3)      | -5.7%  |
| Local Government Assistance          | 785.3           | 745.1             | (40.1)      | -5.1%  |
| Social Welfare                       | 3,326.7         | 3,245.2           | (81.5)      | -2.5%  |
| Public Protection / Criminal Justice | 3,563.3         | 3,473.9           | (89.4)      | -2.5%  |
| Health                               | 15,649.4        | 15,411.5          | (237.9)     | -1.5%  |
| Higher Education                     | 4,436.6         | 4,015.4           | (421.2)     | -9.5%  |
| Misc.                                | 12,878.8        | 10,812.0          | (2,066.8)   | -16.0% |
| Total                                | \$72,563.1      | \$70,626.6        | \$(1,936.5) | -2.7%  |

# Direct State Tax Support Breakdown: Higher Education

(Academic Year View)

| \$M      |                    |                      | Change    |        |
|----------|--------------------|----------------------|-----------|--------|
|          |                    |                      |           |        |
|          | 2015/16<br>Enacted | 2016/17<br>Executive | \$        | %      |
| SUNY     | \$1,937.6          | 1,870.2              | \$(67.4)  | -3.5%  |
| CUNY     | 776.0              | 532.9                | (\$243.1) | -31.3% |
| HESC     | 1,114.8            | 1,134.4              | 19.6      | 1.8%   |
| Misc. HE | 107.1              | 107.1                | -         | -      |
| Total    | \$3,935.5          | \$3,644.7            | \$(290.8) | -7.4%  |

Excludes indirect support, including:  
fringe benefits (\$2.4B),  
debt service (\$1.2B)

# New York State Budget: SUNY Impact



# The Money: Direct State Tax Support Breakdown: SUNY

## (Academic Year View)

| \$M                                             |                    |                      | Change          |              |
|-------------------------------------------------|--------------------|----------------------|-----------------|--------------|
|                                                 | 2015/16<br>Enacted | 2016/17<br>Executive | \$              | %            |
| State-operated Campuses / System Administration | \$712.7            | \$708.0              | \$(4.7)         | -0.7%        |
| Investment Fund                                 | 18.0               | 18.0                 | -               | -            |
| Community Colleges <sup>1</sup>                 | 498.7              | 489.2                | (9.5)           | -1.9%        |
| University-wide Programs                        | 144.2              | 141.5                | (2.7)           | -1.9%        |
| Hospitals                                       | 87.9               | 69.3                 | (18.6)          | -21.2%       |
| Statutory Colleges                              | 133.8              | 133.2                | (0.6)           | -0.4%        |
| Misc. Transfers / Medicaid Match                | 342.3              | 311.1                | (31.2)          | -9.1%        |
| <b>Total</b>                                    | <b>\$1,937.6</b>   | <b>\$1,870.3</b>     | <b>\$(67.3)</b> | <b>-3.5%</b> |

1. Base Aid Per FTE stayed flat at \$2,597/FTE. Downward funding reflects lower FTE levels and some categorical program adjustments  
Excludes indirect support and funding from other agencies

# The Money: Direct State Tax Support Breakdown: SUNY

## *What Does This Mean?*

### State-operated Campuses:

- Loss of \$4.7M in salary support
- Add of \$10.0M for a Clean Energy Workforce Opportunity Program (through NYSEDA)

### Investment Fund

- Stays flat year-to-year
- Still State-operated campus only

### Statutory Colleges

- Loss of \$0.6M Harvest Program

### Community Colleges

- Flat Base Aid / FTE
- Loss of GAP Program (\$1.5M), Child Care Add (\$1.1M), Career Centers Integration (\$1.0M), Orange County Bridges (\$.03M)
- Add of \$5.0M for a Clean Energy Workforce Opportunity Program, Add of \$5.0M for an Apprentice SUNY Program, \$1.0M for two new Community Schools

### University-wide Programs

- Loss of SBDC Add (1.5M), Graduate Diversity Program Add (\$0.6M), Stony Brook Marine Animal Lab (\$0.3M), Cornell Veterinary Add (\$0.3M), ESF Chestnut (\$0.1M)

### Misc. Transfers

- Normalization of Medicaid Match
- First repayment of 2013/14 Hospital Loan

# Non-Direct State Tax Impact Items

## Extension of NY-SUNY 2020

- Up to \$300 increases to Resident Undergraduate Tuition
- Only allowed if administrative cost savings have been implemented (no reduction in staffing levels) and incremental revenue must go towards faculty, instruction, and SUNY Tuition Credit

## Hospital Capital

- \$100.0M (\$50.0M each) for Upstate Medical and Stony Brook University
- \$75.0M match for Upstate Medical's Capital Restructuring Financing Program project

## DREAM Act

- Would allow students without lawful immigration status (that meet certain criteria) to be eligible for TAP and other scholarships in NYS

## \$15.00/Hour Wage

- Would provide for a state-wide minimum wage increase to \$15.00/Hour for hourly workers

## Flex Not Extended

- 2011 Pre-Audit exclusion not included in the Executive Budget

# New York State Budget: What's Next?

# New York State Budget Timeline

**You Are Here**



2016/17  
Budget  
Request

Advocacy

**February**

Budget  
Testimony

**March**

Negotiations

Even More  
Advocacy



2016/17  
Executive  
Budget

30-Day  
Amendments

One House  
Bills

More  
Advocacy

2016/17  
Enacted  
Budget

**January**

**February**

**Feb./March**

**April 1**

# Advocacy Plan: Tell the Legislature SUNY's Story

## Audience

- Legislative Staffers and Legislative Members

## Approach

- What is the impact of the Executive Budget on SUNY?
  - E.g. Salary Agreement Impact (State-ops), Fixed Costs (Community Colleges)
- Support the good, expand on the need for restoration and investment

# Advocacy Plan: 30-Day Amendment Period

## Purpose of 30-Day Amendments

- To make technical corrections to the Governor's Executive Budget

## SUNY's Plan

- Submit letter from Chancellor to Budget Director and Governor, requesting:
  - Inclusion of community colleges in Investment Fund language
  - Extension of 2011 Flex Legislation

## Possible Additions

- Exclusion for SUNY and Construction Fund from DASNY Capital Project Oversight

# Advocacy Plan: Past 30-Day Amendments

## Support

Predictable Tuition and MOE (NY-SUNY 2020)  
Investment and Performance Fund  
Program Funding Adds (Community Schools, Clean Energy Workforce)

## Enhance

SUNY Tuition Credit Aid and Salary Support  
Base Aid Increase to Maintain Flat Funding and Plan for the Future  
Expand the Job Linkage Program, as well as essential University Diversity Funding

## Restore

Child Care and Other Essential Community College Programs  
Graduate Diversity Program and other University-wide Programs  
Statutory Campus Programs

# Investment and Performance Fund



# Investment and Performance Fund (\$18M)

*Original requests were nearly 4X available funding*

## Proposals received

- \$69M in requests for \$18M in the first stage (white papers)
- \$63M in requests for \$18M in the second stage (full proposals)
- \$45M in requests for the \$18M in the second stage were rated Excellent or Very Good by our independent external reviewers

## Awards

- 32 proposals funded
- 22 State-operated campuses will receive awards (with 9 CC collaborators)
- \$564,000 is the average award amount
- Award totals by sector
  - Comprehensive - \$5.9M
  - Technology - \$4.3M
  - University Centers / Doctoral - \$7.9M

# Investment and Performance Fund (\$18M)

*Original requests were nearly 4X available funding*

## Sample Funding Categories

- Proposals will fund supports and services such as early alert software; enhanced advising; development of Student Success Centers; enhanced K-12 connections; math competency programs; increased access to degree and certificate programs in high demand fields; new opportunities for applied learning including research experiences; and more.

## External Review

- Investment Fund proposals were reviewed by a distinguished panel of independent external reviewers: leaders at world-renowned institutions of research and higher education; prominent public, private, and nonprofit public policy institutions; major financial management institutions; and more. These reviewers carry prestigious titles such as President, Vice President, Dean Emeritus, Provost, Director, and Senior Fellow at their respective institutions.

Questions?

